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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/876,492	06/07/2001	Madhav Mutalik	E00295/70144 (EJR)	9317

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EXAMINER

JACOBS, LASHONDA T

ART UNIT PAPER NUMBER

2157

DATE MAILED: 05/11/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/876,492

Applicant(s)

MUTALIK ET AL.

Examiner

LaShonda T. Jacobs

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 9 February 2005.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-36 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-36 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

## **DETAILED ACTION**

### ***Response to Amendment***

This is a Final Office Action in response to Applicants' amendment filed on February 9, 2005.

Claims 1, 14, 24 have been amended. Claims 1-36 are presented for further examination.

### ***Claim Rejections - 35 USC § 112***

1. Claims 1, 3, 14, 16, 23, 24 and 26 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. Examiner is unclear as to the meaning changing a state of the second storage area from the first state to a second state. Examiner perceives a state to be a mode or condition or stage until further clarification by the Applicants.

### ***Claim Rejections - 35 USC § 102***

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. Claims 1-36 are rejected under 35 U.S.C. 102(e) as being anticipated by DeKoning (U.S. Pat. No. 6,691,245).

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As per claims 1, 23 and 24, DeKoning discloses a method, system and computer-readable medium for mirroring data of a first storage area, comprising:

- placing a second storage area in a first state wherein updates to the first storage area are made to the second storage area (col. 5, lines 47-49 and col. 7, lines 22-39);
- mirroring data from the first storage area to the second storage area while the second storage area is in the first state (col. 7, lines 6-15);
- changing a state of the second storage area from the first state to a second state in which updates to the first storage area are not made to the second storage area (col. 7, lines 22-39); and
- restoring data to the first storage area from the second storage area while maintaining the second storage area in the second state (col. 8, lines 24-44).

As per claim 14, DeKoning discloses a storage system comprising:

- a first (local) storage area (col. 6, lines 42-45);
- a second (remote) storage area (col. 6, lines 42-45); and

at least one controller that:

- places the second storage area in a first state wherein updates to the first storage area are made to the second storage area (col. 5, lines 47-49 and col. 7, lines 22-39);
- mirrors data from the first storage area to the second storage area while the second storage area is in the first state (col. 7, lines 6-15);
- changes a state of the second storage area from the first state to a second state in which updates to the first storage area are not made to the second storage area (col. 7, lines 22-39); and

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- restores data to the first storage area from the second storage area while maintaining the second storage area in the second state (col. 8, lines 24-44).

As per claims 2, 15 and 25, DeKoning further discloses:

- writing, only to the first storage area, any data received during the act of restoring (col. 8, lines 33-44).

As per claims 3, 16 and 26, DeKoning further discloses:

- maintaining, after the act of restoring is complete, the second storage area in the second state (col. 8, lines 24-44).

As per claims 4, 17 and 27, DeKoning further discloses:

- receiving a read request issued by a host (col. 9, lines 53-62).; and
- reading, during the act of restoring, data from the second storage area in response to the read request when the data has not been restored to the first storage area (col. 9, lines 25-44).

As per claims 5, 18 and 28, DeKoning discloses:

- wherein the act of changing further comprises allowing the second storage area to be accessed independently from the first storage area (col. 7, lines 58-66).

As per claims 6, 19 and 29, DeKoning discloses:

- wherein the act of restoring includes performing an incremental restore of data from the second storage area to the first storage area (col. 7, lines 22-35).

As per claims 7, 20 and 30, DeKoning discloses:

- wherein the act of restoring includes performing a full restore of data from the second storage area to the first storage area (col. 9, lines 39-57).

As per claims **8**, **21** and **31**, DeKoning further discloses:

- assigning an address to the second storage area, the second storage area being capable of being accessed through the address independently from an address of the first storage area (col. 9, lines 22-31).

As per claims **9** and **22**, DeKoning discloses:

- wherein the first storage area and second storage area are respectively located on first and second logical volumes of a storage system (col. 6, lines 58-60).

As per claims **10** and **33**, DeKoning further discloses:

- indicating whether data has been restored to the first storage area from the second storage area (col. 9, lines 25-44).

As per claims **11** and **34**, DeKoning further discloses:

- restoring data read from the second storage area to the first storage area (col. 9, lines 25-44).

As per claims **12** and **35**, DeKoning discloses:

- wherein at least one of the placing, mirroring, changing, and restoring acts is initiated by a command issued at a host computer (col. 9, lines 39-51).

As per claims **13**, DeKoning discloses:

- wherein, after the changing act, the second storage area contains a snapshot of data of the first storage area at a given time (col. 7, lines 22-35); and

wherein the method further comprises:

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- updating, after the restoring act is complete, data within the first storage area such that the updated data within the first storage area is different from the snapshot of data (col. 9, lines 45-57); and
- restoring, from the second storage area, the snapshot data to replace the updated data within the first storage area (col. 8, lines 33-44).

As per claims 36, DeKoning discloses:

- causing the computer to perform updating after the restoring act is complete, data within the first storage area (col. 9, lines 45-57); and
- restoring, from the second storage area, data to replace the updated data within the first storage area (col. 8, lines 33-44).

### *Response to Arguments*

4. Applicant's arguments with respect to claims 1-36 have been considered but are moot in view of the new ground(s) of rejection.

### *Conclusion*

5. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

U.S. Pat. No. 6,499,091 to Bergsten

U.S. Pat. No. 6,324,654 to Wahl et al

U.S. Pat. No. 5,835,953 to Ohran

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6. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to LaShonda T. Jacobs whose telephone number is 571-272-4004. The examiner can normally be reached on 8:30 A.M.-5:00 P.M..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ario Etienne can be reached on 571-272-4001. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

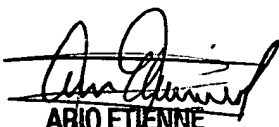


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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

LaShonda T Jacobs  
Examiner  
Art Unit 2157

ltj  
May 4, 2005

  
ARIO ETIENNE  
SUPERVISORY PATENT EXAMINER  
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